



05-02-05

Dfw

April 29, 2005

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Vargas, Jaime; et. al.  
Assignee: Cardica, Inc.  
Title: Tissue Punch  
Serial No.: 10/698,569  
Examiner: Not yet assigned  
Docket No.: 147

Filed: October 31, 2003  
Group Art Unit: 3731

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) Form PTO-1449 and 2 references; and
- (4) Return postcard.

Please charge Deposit Account 502108 for any additional fees required, and credit deposit account 502108 any amounts overpaid.

EXPRESS MAIL SERIAL NO.:  
EV430389846US

Respectfully submitted,



Brian A. Schar, Esq.  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vargas, Jaime; et. al.  
Assignee: Cardica, Inc.  
Title: Tissue Punch  
Serial No.: 10/698,569 Filing Date: October 31, 2003  
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### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

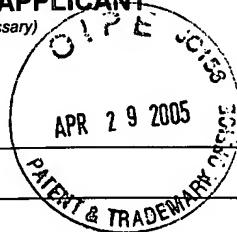
Respectfully submitted,



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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
*(Use as many sheets as necessary)*



Sheet 1 of 1

Complete if Known

<b>Application Number</b>	10/698,569
<b>Filing Date</b>	October 31, 2003
<b>First Named Inventor</b>	Vargas, Jaime
<b>Group Art Unit</b>	3731
<b>Examiner Name</b>	Unknown
<b>Attorney Docket No:</b> 147	

Attorney Docket No: 147

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US-2002/0082614	06/27/2002	Logan, et al.	
	US-2002/0082614	02/27/2004	Logan, et al.	
	US-2003/0065342	04/03/2003	Nobis	
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	US-6,605,098	08/12/2003	Nobis, et al.	
	US-6,695,859	02/24/2004	Golden, et al.	

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (In CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Cardica PAS-Port Proximal Anastomosis System 510(k)", Section VI.C., "Substantial Equivalence", and Attachment 7 (Unpublished)	
		Sales training brochure entitled "CorLink Automated Anastomosis Device" (2002)	

**EXAMINER**

**DATE CONSIDERED**